

DTG Rec'd PCT/PTO 28 SEP 2004

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| FORM PTO-1390   |   | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No.<br>2953-224                                    |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |   |   | U.S. Application No. (including, see 37 CFR 1.5)<br><b>10/509281</b> |
|   |   |   | New Application  |
| INTERNATIONAL APPLICATION NO.<br>PCT/GB03/001402  | INTERNATIONAL FILING DATE<br>March 28, 2003 | PRIORITY DATE CLAIMED<br>3/28/02 and 9/12/02            |  |
| TITLE OF INVENTION<br>RECOVERING MATERIALS  |   |   |  |
| APPLICANT(S) FOR DO/EO/US<br>Donald EAGLAND, Nicholas John CROWTHER, James MURRAY, Austin TOBIN   |   |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |  |
| <ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li></ol></li><li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol> |   |   |  |
| ITEMS 11. TO 20. below concern other document(s) or information included:   |   |   |  |
| <ol style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li><li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A power of attorney and/or address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825</li><li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>20. <input checked="" type="checkbox"/> Other items or information: Published Application</li></ol>   |   |   |  |

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| U.S. APPLICATION NO. <b>10/509281</b><br>New Application |  | INTERNATIONAL APPLICATION NO.<br>PCT/GB03/01402 |  | ATTORNEY DOCKET NO.<br>2953-224 |  |
|--|--|---|--|---------------------------------|--|

|   |              |              |            |                       |  |                     |  |
|---|--------------|--------------|------------|-----------------------|--|---------------------|--|
| 21. [ X ] The following fees are submitted:<br><b>Basic National Fee (37 CFR 1.492)(a)(1)-(5):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and<br>International Search Report Not Prepared by EPO or JPO. .... \$ 1,080.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but International Search Report has been prepared by the EPO or JPO ..... \$ 920.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 770.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 730.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00<br><br><div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> |              |              |            | <u>CALCULATIONS</u>   |  | <u>PTO USE ONLY</u> |  |
|   |              |              |            | \$920                 |  |                     |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |              |            | \$0                   |  |                     |  |
| Claims  | Number Filed | Number Extra | Rate       |                       |  |                     |  |
| Total Claims  | 30 - 20 =    | 10           | X \$18.00  | \$180                 |  |                     |  |
| Independent Claims  | 3 - 3 =      | 0            | X \$86.00  | \$0                   |  |                     |  |
| Multiple dependent claim(s) (if applicable)   |              |              | + \$290.00 | \$0                   |  |                     |  |
| TOTAL OF ABOVE CALCULATIONS =   |              |              |            | \$1,100               |  |                     |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated<br>above are reduced by 1/2.   |              |              |            | \$0                   |  |                     |  |
| SUBTOTAL =  |              |              |            | \$1,100               |  |                     |  |
| Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30<br>months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |            | \$0                   |  |                     |  |
| TOTAL NATIONAL FEE =  |              |              |            | \$1,100               |  |                     |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |              |            | \$0                   |  |                     |  |
| TOTAL FEES ENCLOSED =   |              |              |            | \$1,100               |  |                     |  |
|   |              |              |            | Amount to be refunded |  | \$                  |  |
|   |              |              |            | charged               |  | \$                  |  |

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 02-2135 in the amount of \$ 1,100 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

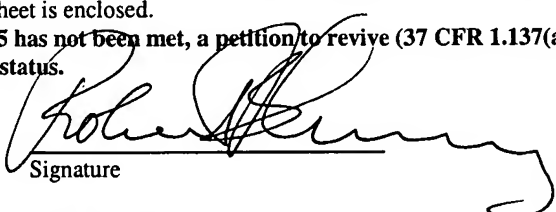
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment  
 to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**Customer No. 6449**

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